PTO/SB/66 (03-09)
Approved for use through 04/30/2009. OMB 0661-0031
U.S. Patent and Tredomerk Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1985, no porsons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: <u>Leitz et al.</u> Application No./Patent No.: 7,375,385 Filed/Issue Date: 5/20/2008 Titled: Semiconductor Heterostructures Having Reduced Dislocation Pile-Ups <u>Taiwan Semiconductor Manufacturing Company, Ltd.</u>, a (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, govornment egency, etc.) states that it is: 1. X the assignee of the entire right, title, and interest in; an assignee of less then the entire right, title and interest in (The extent (by percentage) of its ownership interest is %); or the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was the patent application/patent identified above, by virtue of either: A. An assignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ______, Frame _____, or for which a copy thereof is attached. OR B. X A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: From: <u>Christopher Leltz, Christopher Vinels, Richard Westhoff, Vicky Yang, and Matthew Currie</u> To: AmberWave Systems Comparation The document was recorded in the United States Patent and Trademark Office at Reel/Frame 014787/0376, or for which a copy thereof is attached. 2. From: AmberWave Systems Corporation To: Talwan Semiconductor Manufacturing Company, Ltd. The document was recorded in the United States Patent and Trademark Office at Reel/Frame 023848/0183, or for which a copy thereof is attached. 3. From: To: The document was recorded in the United States Patent and Trademark Office at _____, Frame _______, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(l), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.081 <u>d (whose title is supplied below) is authorized to act on behalf of the assignee.</u> <u>Director of Intellectual Property</u> Printed or Typed Name

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retein a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.